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Enantioselective Formal [3+3] Annulation for the Direct Construction of Bicyclic Skeletons with Four Stereogenic Centers

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ABSTRACT

An enantioselective formal [3+3] annulation reaction of cyclic ketones with enones has been developed. In the presence of 20 mol % of pyrrolidine-thiourea 1a or N-(pyrrolidin-2-ylmethyl)trifluoromethanesulfonamide 1i, the reactions afford bicyclo [3.3.1] adducts in moderate to good yields with good to high enantioselectivities under mild conditions.

Tandem reactions are powerful synthetic tools for rapid creation of complex molecules with multiple stereogenic centers. Of the developed strategies for asymmetric tandem reactions, organocatalysis provides an efficient protocol with environmental friendliness, operational simplicity, and atom economy. Although several elegant organocatalytic tandem

reactions have been reported recently,^{3,4} the construction of cyclic molecules with four or more stereogenic centers⁴ in a cascade manner remains a challenge. In this Letter, we disclose the first example of an asymmetric formal [3+3] annulation reaction of cyclic ketones with enones, resulting in the formation of two new C–C bonds and four stereogenic centers with high enantioselectivity under mild conditions.

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In 1959, Jung reported a reaction of cyclohexanone with enone acids in the presence of KOH, leading to a racemic bicyclic [3.3.1] compound after 8 days.⁵ Since this pioneering work, there have been several other studies on this reaction and its chemical transformation (eq 1).⁶ To the best of our

Ph OH
$$CO_2H$$
 Ph OH CO_2Me CH_2N_2 (1)

knowledge, however, none of these investigations has explored the possibility of the asymmetric reactions. We have previously developed a pyrrolidine-thiourea $1a^{7a,c}$ as an organocatalyst for asymmetric Michael addition. We envisioned that this chiral thiourea⁷ is a potential catalyst for the aforementioned reaction. After many attempts, we were pleased to find that the reaction of cyclohexanone with enone 3a proceeded well to give 2-hydroxy-9-oxo-bicyclo[3.3.1]-nonane 4a in good conversion with 88% enantiomeric excess as shown in Scheme 1. The relative configurations have been

Scheme 1. Pyrrolidine-thiourea **1a** Catalyzed Formal [3+3] Annulation Reaction

determined by X-ray diffration analysis on compound **4a** (see the Supporting Information). To further improve the conversion and enantioselectivity, several L-proline derivatives **1a**—**i** (Figure 1) have been screened and the results were sum-

Figure 1. Organocatalysts screened.

marized in Table 1. It was found that both pyrrolidine-thiourea **1a** and pyrrolidine-urea **1b** could promote this reaction in good conversion with high ee values (entries 1

Table 1. Effects of Catalysts on the Reaction of Cyclohexanone 2a and Enone $3a^a$

entry	catalyst	time (day)	$\operatorname{conv}^b\ (\%)^{13}$	ee ^c (%)
1	$\mathbf{1a}^d$	2	80	88
2	${f 1b}^d$	2	99	85
3	1c	3.5	<5	
4	1d	3.5	<5	
5	1e	3.5	<5	
6	1f	3.5	20	
7^e	1f	3	61^f	23
8	1g	2	53	66
9	1h	2	50	85
10	1i	2	99	90
11^g	1i	2	89	90
12^h	1i	2	45	90
13^d	1i	2	99 (80 ^f)	90

^a Unless otherwise noted, all reactions were carried out at room temperature, cat. **1** (20 mol %), *n*-butyric acid (20 mol %), **2a** (980 mg, 10 mmol), **3a** (0.20 mmol). ^b Determined by ¹H NMR. ^c Determined by chiral HPLC analysis. ^d In the presence of 4-methoxybenzonic acid (20 mol %). ^e DMSO as a solvent. ^f Isolated yield. ^g Without *n*-butyric acid. ^h 0 °C.

and 2, Table 1). The reaction was very sluggish when proline derivatives $1\mathbf{c} - \mathbf{e}^{8,9}$ were used (entries 3–5, Table 1). L-Proline $1\mathbf{f}^{10}$ worked well but with only 23% ee (entry 7, Table 1). When $1\mathbf{g}^{11}$ and $1\mathbf{h}^{12}$ were employed as the catalysts (entries 8 and 9, Table 1), moderate conversion and enantioselectivity were observed. To our delight, the product was obtained in 99% conversion with 90% ee when N-(pyrrolidin-2-ylmethyl)trifluoromethanesulfonamide $1\mathbf{i}^{12}$ was used in the presence of 20 mol % of n-butyric acid (entry 10, Table 1).

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In the absence of acid, 1i also gave the same enantioselectivity but with a slight reduction of the reaction rate (entry 11, Table 1). Lowering the reaction temperature to 0 °C decreased the conversion significantly (entry 12). By using 4-methoxybenzoic acid instead of *n*-butyric acid, the same ee was achieved (entry 13, Table 1).

Under the optimal conditions, various ketones 2 and enones 3 were examined to investigate the reaction scope. As shown in Table 2, the ester groups in 3 have less effect on the yields and enantioselectivities (entries 1-3, Table 2).

Table 2. Formal [3+3] Asymmetric Reaction of Ketones 2 and Enones 3^a

$2a X = CH_2$; $2b X = O$; $2c X = N(Me)$						
entry	product 4	time (h)	yield ⁵ (%)	ee ° (%)		
1	HO COME 1 4a	48	80	90		
2	HO COLET	40	74	91		
3	HQ CO-Bn	24	76	91		
4	HO ÇO2Me	64	77	87		
5	HC CO-Me 4e	40	80	90		
6	HO CO ₂ Me	50	73	91		
7	HO CO,Me Br	24	77	90(>99)		
8	HO CO, Me	40	85	93		
9	HO GO Me NO2	120	90	92		
10 ^d	HO CO.Me	48	74	93		
11	HO CONET HO HO H	60	56	94		
12	HO CO-Me	7 days	66	90		
13 ^d	HC COMe	72	92	80		

^a Unless otherwise noted, all reactions were carried out in neat with 2 (980 mg, 10 mmol) and 3 (0.20 mmol) in the presence of 1i (9.3 mg, 0.04 mmol) and 20 mol % of 4-methoxybenzoic acid. ^b Isolated yield. ^c Determined by chiral HPLC analysis. d At 0 °C.

 β -Aryl-substituted enones 3 were good substrates to afford the desired products in good yields with high enantioselectivities (entries 4–10, Table 2). While (E)-ethyl 4-phenyl-2-oxopent-3-enoate gave the corresponding product 4b in 74% yield with 91% ee, (E)-ethyl 2-oxopent-3-enoate also gave the adduct 4k in good yield with 94% ee (entry 11, Table 2). Substituents on the benzene ring of β -aryl enones 3 influenced slightly the enantioselectivities (86–93% ee). Besides cyclohexanone, its derivatives 2b-c could also give the corresponding products in good yields with high enantioselectivities (entries 12 and 13, Table 2), providing an easy access to optically active heterocyclic compounds with four stereocenters. Noticeably, the optically pure product 4g (>99% ee) could be obtained through a recrystallization in ether. The relative and absolute configurations have been determined by X-ray diffraction analysis on compounds 4a and 4g (see the Supporting Information). 14 The hydroxyl group and the aryl group are located in the cis-position. The absolute configuration of 4g is assigned as 1R, 2S, 4R, 5S by X-ray analysis (see the Supporting Information).

The asymmetric reaction of cyclopentanone and acetone with 3a using 1i as catalyst was also investigated. As shown in Scheme 2, cyclopentanone gave the desired bicycle

Scheme 2. Reaction of Cyclopentanone and Acetone with 3a

Cat. 1i (20 mol %)

CO₂Me

compound in high yield with 64% ee. In the case of acetone, only the aldol product 40 was obtained in high yield with only 14% ee.

The present reaction provides an easy access to compounds with bicyclic [3.3.1] skeleton, many of which are associated with natural products. 15 In addition, compound 4a could be easily converted to bicyclic [3.3.2] compound 6 in 83% yield with high diastereoselectivity by Baeyer-Village oxidation

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⁽¹⁴⁾ For details, please see the Supporting Information.

in the presence of a catalytic amount of Li₂CO₃ (Scheme 3). Compound **6** was fully characterized by ¹H, ¹³C NMR,

Scheme 3. The Conversion of Compound 4a to Compound 6

and HRMS. The relative configuration has been determined by X-ray diffraction analysis on compound **6** (see the Supporting Information).

Scheme 4. A Proposed Mechanism for the Formal [3+3] Annulation Reaction

A proposed mechanism (as shown in Scheme 4) explains the present reaction. The ketone is transformed to the corresponding enamine **A** in the presence of catalyst **1i**. A Michael addition of **A** with enone **3**, followed by an isomerization and then an Aldol reaction affords the desired product **4** and regenerates the catalyst to finish a catalytic cycle.

On the basis of the experimental results described above, a stereochemical model was developed to account for the high enantioselectivity of the present reaction. As shown in Figure 2, catalyst 1i was proposed as a bifunctional catalyst.

Figure 2. A possible stereochemical model.

The pyrrolidine reacts with cyclic ketone to form an enamine and the sulfonamide activates the enone via a hydrogen bond. The enamine attacked the enone from the si-face to afford the product, which was consistent with the experimental results.

In summary, we have developed an organocatalytic enantioselective formal [3+3] annulation reaction of cyclic ketones with enones, providing easy access to optically active 2-hydroxy-9-oxo-bicyclo[3.3.1]nonane derivatives with four stereocenters in one pot. The mild conditions, the high enantioselectivities, the good yields, and the [3.3.1] bicyclic skeleton¹⁵ obtained make the current reaction potentially useful in organic synthesis. Further investigation of the scope of formal [3+3] cycloaddition and its application is underway.

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Supporting Information Available: Characterization data for all new compounds, CIF files for **4a**, **4g**, and **6**, and experimental procedures. This material is available free of charge via the Internet at http://pubs.acs.org.

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